CLINICAL REVIEW CHECKLIST

FUNCTIONAL BEHAVIORAL ASSESSMENT · BEHAVIORAL SUPPORT PLAN · CRISIS INTERVENTION PLAN· GAS

PARTICIPANT:
BS STAFF NAME:
BS PROVIDER AGENCY:

PRECURSORY REVIEW	
This is acknowledgement that the following have been completed. **If any of the items are NOT completed, this review cannot move forward:**	☐ **Recommended hours for Direct and Consult** (including frequency and duration) ☐ **FBA Summary** ☐ **GAS charts** (1 for each DBO) ☐ **Sample BSP HCSIS Screen Template** (if BS doesn't have access to HCSIS) ☐ **SC included the following in the comments section of "Plan Development":** (a) the name of the BS that conducted the FBA, (b) the name of the BS that wrote the BSP/CIP, (c) the name of the BS that wrote the GAS charts. (d) And if the BS needed to utilize the Sample BSP HCSIS Screen Template doc as a result of insufficient rights in HCSIS, the SC needs to also note this as well. ☐ **The FBA submitted corresponds with the DBOs in the BSP**
NOTE FOR CLINICAL REP:	☐ By checking this box, you confirm that the FBA has been uploaded into the Sharepoint drive.
Comments	

Behavioral Outcomes from BSP:	
1.	
2.	
3.	

RESOURCE	EXPECTATION	COMMENTS
FUNCTIONAL BEHAVIORAL ASSESSMENT (FBA)		
BS is qualified	The staff who completed the Functional Behavioral Assessment (FBA) is qualified to write FBAs and provide BS services.	☒ MET ☐ NOT MET
Use of ISP, PRE and other assessments	The behavior(s) of concern identified in the ISP, PRE and or other assessments are taken into consideration in the FBA.	☒ MET ☐ NOT MET
FBA Behavior	The FBA focuses on behaviors that are appropriate for BS and are either disruptive, destructive, and or disturbing that interfere with the individual's quality of life.	☒ MET ☐ NOT MET
Defined Behavior	Operational definitions of problem behaviors including prioritization	☒ MET ☐ NOT MET
FBA Behavioral Hypothesis	The behavioral hypothesis: - details the condition, behavior (defined in observable, measurable terms), and function - directly aligns with the Desired Behavioral Outcome (DBO) in the BSP.	☒ MET ☐ NOT MET

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Indirect Data	Summary of indirect assessment methods (e.g., interviews, FAI, MAS, FAST, other assessments/checklists) that supports the behavioral hypothesis.	☒ MET ☐ NOT MET
Direct Data	Summary of direct methods (observations, data collection, graphs, including analysis of data) that supports the behavioral hypothesis.	☒ MET ☐ NOT MET
Multidisciplinary approach	There is evidence that a multidisciplinary approach was taken in the FBA process.	☒ MET ☐ NOT MET
Data-driven conclusions	The conclusions made in the FBA are supported by the data.	☒ MET ☐ NOT MET
Recommendations	Recommendations for ongoing behavioral support are holistic and include considerations for quality of life and the need for additional assessments when applicable (e.g. medical, sexuality, trauma)	☒ MET ☐ NOT MET

RESOURCE	EXPECTATIONS	COMMENTS
BEHAVIORAL SUPPORT PLAN (BSP)		
Hypothesis	The appropriate information is captured in the corresponding sections (e.g., condition, behavior, function) and are brief and thorough.	☒ MET ☐ NOT MET
	The behavior is measurable and observable.	☒ MET ☐ NOT MET
	The behaviors are listed separately in a separate hypothesis if they do not occur together (e.g., occur at the same time, occur in a predictable chain, or occur in response to the same situation?)	☒ MET ☐ NOT MET
	The function of the behavior is clear (to gain attention, escape, access or for sensory)?	☒ MET ☐ NOT MET
Desired Behavioral Outcome	The appropriate information is captured in the corresponding sections (e.g., condition, behavior, function) and are brief and thorough.	☒ MET ☐ NOT MET
	The condition statement is specific enough to provide a cue for supporters to intervene as a natural prompt to trigger the behavior.	☒ MET ☐ NOT MET
	The condition in the DBO matches the condition in the Hypothesis.	☒ MET ☐ NOT MET
	The behavior is measurable and observable.	☒ MET ☐ NOT MET
	The behaviors are listed separately in a separate hypothesis if they do not occur together (e.g., occur at the same time, occur in a predictable chain, or occur in response to the same situation?).	☒ MET ☐ NOT MET
	The criteria states the mastery in detail and in its entirety and make sense for the behavior.	☒ MET ☐ NOT MET
Antecedent Strategies	Antecedent strategies are linked to the condition in the hypothesis.	☒ MET ☐ NOT MET

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------------------------- Antecedent Strategies explain how to prepare the person for the environment or context identified as challenging in the FBA.	The strategies listed are proactive and address ways to help prevent the problematic behavior from occurring.	☒ MET ☐ NOT MET
	There are sufficient strategies to address the context in which the challenging behavior has historically occurred. (i.e., Are the conditions understood? And do the strategies address what we know about the behavior, historically?)	☒ MET ☐ NOT MET
	The strategies are written in a way that provides instruction to supporters in their role in implementing the BSP.	☐ MET ☐ NOT MET
	The strategies in this section are appropriate antecedent strategies.	☐ MET ☐ NOT MET
Replacement/ Alternative Strategies ------------------------- Replacement/ Alternative Strategies explain the supporter's role in how to teach functionally equivalent r eplacement behaviors.	Replacement/Alternative strategies are aligned with the hypothesized function of the behavior.	☐ MET ☐ NOT MET
	The strategies identify skills that the individual can use to promote self-regulation, build tolerance and delay gratification, in a person-centered way.	☐ MET ☐ NOT MET
	There are sufficient skills identified that would be likely to decrease the need for the individual to use the challenging behavior to meet their needs.	☐ MET ☐ NOT MET
	The strategies are written in a way that provides instruction to supporters on their role in teaching and prompting these skills.	☐ MET ☐ NOT MET
	The strategies in this section are appropriate replacement/ antecedent strategies.	☐ MET ☐ NOT MET
Consequence Strategies ------------------------- Consequence Strategies explain how supporters should respond to both the challenging behavior targeted in the FBA as well as replacement or alternative behaviors targeted in the BSP.	The consequence strategies address both (a) how others are going to respond when the individual engages in the replacement behavior as well as (b) how others are going to respond when the individual engages in the challenging behavior.	☐ MET ☐ NOT MET
	The strategies listed for when the individual engages in the replacement behavior, are more then just "provide verbal praise".	☐ MET ☐ NOT MET
	There is information that includes some type of follow up steps or individuation to move to the Crisis Intervention Plan.	☐ MET ☐ NOT MET

	There are enough strategies that explain to the supporter what to do to:
	
	    Prompt alternative /replacement behaviors, decrease reinforcement of challenging behavior, or indicate to move to Crisis Intervention Plan.
	    Reinforce replacement behaviors by allowing those behaviors to achieve the function identified in the FBA.
	    Reinforce the alternative behavior.
		☐ MET
		☐ NOT MET

	The strategies are written in a way that provides instruction to supporters on how to respond to different behaviors the individual might use when in the context of the condition statement.	☐ MET ☐ NOT MET

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	The strategies in this section are appropriate consequence strategies.	☐ MET ☐ NOT MET
Means of Monitoring	The behavior in which data is collected on corresponds or matches the Desired Behavioral Outcome	☒ MET ☐ NOT MET
	Appropriate measurement is chosen for either the replacement/alternative skill and/or the undesired behavior	☐ MET ☐ NOT MET
Person Centeredness	The goals in the plan are expected to be person-centered. *If any of the following are identified, check the box beside them and mark this section as "not met".* ☒ The goals in the plan seem to be for the convenience of staff or natural supporters. ☐ Goals seem to focus solely on compliance	☐ MET ☐ NOT MET
	The BSP goals are developed with consideration for the person's vision for a meaningful, everyday life.	☒ MET ☐ NOT MET
	DOES THIS PLAN INCLUDE RESTRICTIVE INTERVENTIONS? **(If yes, complete the rest of the questions in this section)**	☐ YES ☐ NO
	If the DBO contains restrictive strategies, Is the "restrictive plan indicator" checked?	☐ YES ☐ NO
	If the plan includes restrictive interventions, have they been approved by an HRT?	☐ YES ☐ NO
	Are there concerns that the plan might be implemented in a way that would result in the misuse of the restrictive procedure or rights violation?	☐ YES ☐ NO

RESOURCE	EXPECTATIONS	COMMENTS
CRISIS INTERVENTION PLAN (CIP)		
Before **Escalation Behaviors**	Behaviors indicative of potential for escalation are listed and are distinctly different from behaviors addressed within the BSP.	☐ MET ☐ NOT MET
Strategies	Strategies to address when escalation behaviors are noted are detailed.	☐ MET ☐ NOT MET
Indication to move to the next level	Contains an explanation of what behaviors are looking like when proactive strategies may not work and the situation may be moving into a crisis.	☐ MET ☐ NOT MET
	Behaviors listed are written in observable and measurable terms.	☐ MET ☐ NOT MET
	Behaviors listed distinctly different then the behaviors listed within the BSP as well as the escalation behaviors.	☐ MET ☐ NOT MET
During	Strategies directed at ensuring the safety of all persons.	☐ MET ☐ NOT MET

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Strategies	Strategies within this section focus on health and safety rather than reminding and/ or teaching new skills to the individual.	☐ MET ☐ NOT MET
De-Escalation Behaviors	Contains a brief explanation of what behaviors are indicative that a crisis is complete.	☐ MET ☐ NOT MET
	Behaviors listed are written in observable and measurable terms.	☐ MET ☐ NOT MET
After **Strategies**	Brief explanation of what actions will be taken to learn from and divert another crisis.	☐ MET ☐ NOT MET
RESOURCE	**EXPECTATIONS**	**COMMENTS**
ISP GOALS AND OBJECTIVES		
	Desired Behavioral Outcomes in the BSP match the BSS Objectives in HCSIS.	☐ MET ☐ NOT MET
	The "Goal Phrase" match the Goal Phrase in the GAS chart?	☐ MET ☐ NOT MET
	The "Concerns" section in the goal includes the baseline information from the GAS chart.	☐ MET ☐ NOT MET
	The correct Goal Phrase is linked to the BS Plan Development service.	☐ MET ☐ NOT MET
RESOURCES	**EXPECTATIONS**	**COMMENTS**
GAS CHARTS		
	The Goal Phrase adequately captures the desired behavior.	☐ MET ☐ NOT MET
	The Goal Category is accurate.	☐ MET ☐ NOT MET
	The Goal Statement matches the behavior in the objective.	☐ MET ☐ NOT MET
	The condition, behavior and criteria match the linked DBO.	☐ MET ☐ NOT MET
	The Concerns section contains the baseline in quantitative terms.	☐ MET ☐ NOT MET
	The GAS chart appears to be acceptable and follow ODP BSASP GAS expectations.	☐ MET ☐ NOT MET

Reviewed by:		**Date Reviewed:**	
BS Hours	*Hours requested:* Direct: _____ Consult: _____	**BSP/ CIP**	

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(Consult and Direct) Review:		Review:	
	☒ **Rejected:** The requested amount of *Direct* and *Consult* hours do not appear to be justified. *Additional information is needed to identify why this amount is needed and are to be resubmitted by the BS provider within 7 business days.* ☐ **Approved:** Hours are approved as documented above. Once *SSD/BS FBA Plan Development* service is authorized, the requested amount of *Direct* and *Consult* hours can be added to the plan. *SSD/BS Plan Development can be authorized and SSD/ BS Direct and Consult can be added to the ISP WHEN BOTH THE BSP/CIP AND BS HOURS ARE APPROVED.*		☒ **Rejected:** Revisions are necessary before approval. *Details on revisions can be found on the checklist and are to be completed by the BS provider within 7 business days.* ☐ **Approved:** No revisions are needed and the BS has been confirmed to be qualified. Once the hours are approved, the requested amount of *Direct* and *Consult* hours can be added to the plan. ☐ **Although plan is approved,** the BSP/CIP was submitted on the *Sample HCSIS Screen Template*. BS needs to submit the approved BSP/ CIP into HCSIS within 7 business days. *SSD/BS Plan Development can be authorized and SSD/ BS Direct and Consult can be added to the ISP WHEN BOTH THE BSP/CIP AND BS HOURS ARE APPROVED.*
GENERAL COMMENTS:			